

7246/58775

J.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/462789

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.  
PCT/JP99/02602INTERNATIONAL FILING DATE  
May 19, 1999PRIORITY DATE CLAIMED  
May 19, 1998

## TITLE OF INVENTION

Recording &amp; Reproducing Apparatus, Data Reproducing Method, &amp; Data Recording...

## APPLICANT(S) FOR DO/EO/US

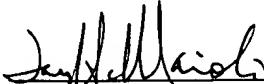
Fumitake Yodo and Junichi Aramaki 520 Rec'd PCT/PTO 12 JAN 2000

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:
  - 1.) Formal Drawings
  - 2.) Signed Declaration
  - 3.) International Search Report
  - 4.) Verification of Translation

U97462769		INTERNATIONAL APPLICATION NO PCT/JP99/02602	ATTORNEY'S DOCKET NUMBER 7266/58775																																																																			
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ) :</b></p> <p>Search Report has been prepared by the EPO or JPO ..... \$ 840.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$</p>		<b>CALCULATIONS PTO USE ONLY</b>																																																																				
<p><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p><b>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</b></p>		\$ 840.00																																																																				
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>31</td> <td>- 20 =</td> <td>11 X 18.00 \$ 198.00</td> </tr> <tr> <td>Independent claims</td> <td>5</td> <td>- 3 =</td> <td>2 X 78.00 \$ 156.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$ \$</td> </tr> <tr> <td colspan="3"></td> <td><b>TOTAL OF ABOVE CALCULATIONS =</b></td> </tr> <tr> <td colspan="3"></td> <td>\$ 354.00</td> </tr> <tr> <td colspan="3">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$</td> </tr> <tr> <td colspan="3"></td> <td><b>SUBTOTAL =</b></td> </tr> <tr> <td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>\$</td> </tr> <tr> <td colspan="3"></td> <td>+ \$</td> </tr> <tr> <td colspan="3"></td> <td><b>TOTAL NATIONAL FEE =</b></td> </tr> <tr> <td colspan="3">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>+ \$ 40.00</td> </tr> <tr> <td colspan="3"></td> <td><b>TOTAL FEES ENCLOSED =</b></td> </tr> <tr> <td colspan="3"></td> <td>\$ 1,234.00</td> </tr> <tr> <td colspan="3"></td> <td>Amount to be: \$</td> </tr> <tr> <td colspan="3"></td> <td>refunded \$</td> </tr> <tr> <td colspan="3"></td> <td>charged \$</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	31	- 20 =	11 X 18.00 \$ 198.00	Independent claims	5	- 3 =	2 X 78.00 \$ 156.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ \$				<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 354.00	Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			\$				<b>SUBTOTAL =</b>	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$				+ \$				<b>TOTAL NATIONAL FEE =</b>	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$ 40.00				<b>TOTAL FEES ENCLOSED =</b>				\$ 1,234.00				Amount to be: \$				refunded \$				charged \$	
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<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,234.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed.</p>																																																																						
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																																																																						
<p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Jay H. Maioli Cooper &amp; Dunham LLP 1185 Avenue of the Americas New York, NY 10036</b></p>		 <p>SIGNATURE:</p> <p><b>Jay H. Maioli</b> NAME</p> <p><b>27,213</b> REGISTRATION NUMBER</p>																																																																				

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514 Rec PCT/PTO 12 JAN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No. EL066381622US

Date of Deposit: January 12, 2000

I hereby certify that this PCT Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231

*Jamel Rhabbi*  
*Jamel Rhabbi*

Applicant :Fumitake Yodo et al.  
7246/58775

Title: RECORDING AND REPRODUCING APPARATUS, DATA REPRODUCING METHOD, AND DATA RECORDING AND REPRODUCING METHOD

JAY H. MAIOLI  
REG. NO. 27,213

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New York, NY 10036  
(212) 278-0400

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VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:  
My name and post office address are as stated  
below;

That I am knowledgeable in the English language and  
in the language in which the below identified  
international application was filed, and that I believe  
the English translation of the international application  
No. PCT/JP99/02602 is a true and complete translation of  
the above identified international application as filed.

I hereby declare that all statements made herein  
of my own knowledge are true and that all statements made  
on information and belief are believed to be true;  
and further that these statements were made with the  
knowledge that willful false statements and the like so  
made are punishable by fine or imprisonment, or both,  
under Section 1001 of Title 18 of the United States Code  
and that such willful false statements may jeopardize  
the validity of the application or any patent issued  
thereon.

Date December 24, 1999

Full name of the translator Masatomo SUGIURA

Signature of the translator Masatomo Sugiura

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